## RECEIVED

SEP 0 4 2003

TC 1700

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 28, 2003.

**Applicant** 

: HO YIN TANG, et al.

Application No.

: 09/886,625

Filed

PATENTS

: June 20, 2001

Title

: LOW SWITCHING TEMPERATURE POLYMER POSITIVE

TEMPERATURE COEFFICIENT DEVICE

Grp./Div.

: 1711

Examiner

Docket No.

: Travis B. Ribar : 40226/DMC/B553

Customer No.

: 23363

#### INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 August 28, 2003

Confirmation No.4370

#### Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Daniel M. Cavanagh, Reg. No. 41,661

Telephone: 626/795-9900

DMC/rmw

Enclosures:

PTO/SB/08A/B, w/references

RMW IRV1069131.1-\*-08/28/03 6:02 PM

# RECEIVED

FORM PTO/SB/08A/B (10-01) SEP 0 4 2003 Substitute for PTO-1449A/B TC 1700	Attorney Docket Number	40226/DMC/B553
	Application Number	09/886,625
INFORMATION DISCLOSURE	Filing Date	June 20, 2001
STATEMENT BY APPLICANT	Applicant(s)	HO YIN TANG, et al.
SEP 0 2 2003	Group Art Unit	1711
(use as many sheets as necessary)	Examiner Name	Travis B. Ribar

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER Number - kind code <sup>2</sup> - (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		3,900,654	08-19-1975	Stinger
		4,277,673	07-07-1981	Kelly
	,	4,560,498	12-24-1985	Horsma et al.
		4,658,121	04-14-1987	Horsma et al.
		4,722,853	02-02-1988	Batliwalla et al.
		5,837,382	11-17-1998	Hayashi et al.
		US 6,190,765 B1	02-20-2001	Idehara et al.
	I .			

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS		Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

OTHER DOCUMENTS			
EXAMINER INITIALS	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		International Search Report dated 27 June 2002 for International Application No. PCT/US01/19884, mailed 27 December 2002 (4 pages).	
		Errol J. Queen, "Carbon Black", 1978 (pgs 277,279)	

EXAMINER	<u></u>	DATE	
SIGNATURE		CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DMC/rmw

RMW IRV1061545.1-\*-8/28/03 6:00 PM